

## METRISABILITY OF MANIFOLDS IN TERMS OF FUNCTION SPACES

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ABSTRACT. Both internal and external new criteria of metrisability of a topological manifold are obtained. The external ones involve topological properties of the space of real-valued continuous functions over the manifold, endowed either with the topology of pointwise convergence or with the compact-open topology.

### 1. METRISABILITY OF MANIFOLDS AND TOPOLOGICAL PROPERTIES OF FUNCTION SPACES

It is an obvious and well known fact that several topological properties that are different in general may collapse in the presence of additional properties. These additional properties may be algebraic (e.g., a topological group is metrisable if and only if it is first-countable) or purely topological. For instance, a large collection of topological properties which are different in general turns out to be all equivalent to metrisability for topological manifolds<sup>(1)</sup> [9]. Another class of important topological objects in which several different topological properties may collapse is that of function spaces. Let  $C_p(X)$  and  $C_k(X)$  denote the set of real-valued continuous functions on a topological space  $X$  endowed with the topology of pointwise convergence and with the compact-open topology respectively. In general, Fréchetness implies sequentiality and sequentiality implies  $k$ -ness, but none of these implications can be reversed. However, these three properties coincide for function spaces like  $C_p(X)$  and  $C_k(X)$  [20].

In this paper, we show that (not surprisingly) even more properties collapse for function spaces over a topological manifold. Often more surprising are the new

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<sup>1</sup>i.e., a connected, Hausdorff space which is locally homeomorphic to Euclidean space.

criteria of metrisability of a manifold that we derive, in terms of the topological properties of the function spaces over this manifold. Before we state these new criteria, we need to define the notions involved.

**Definition 1.1.** *A topological space  $X$  is*

- (1) *metaLindelöf if each open cover has a point-countable open refinement;*
- (2) *Ceĭh-complete if it is a  $G_\delta$ -subset of a compact space;*
- (3) *pseudocomplete provided that it has a sequence  $\langle \mathcal{B}_n \rangle$  of  $\pi$ -bases ( $\mathcal{B} \subset 2^X$  is a  $\pi$ -base if every non-empty open subset of  $X$  contains some member of  $\mathcal{B}$ ) such that if  $B_n \in \mathcal{B}_n$  and  $\overline{B_{n+1}} \subset B_n$  for each  $n$ , then  $\bigcap_{n \in \omega} B_n \neq \emptyset$ ;*
- (4) *cosmic ([11, page 259]) if it is a continuous image of a separable metrisable space; equivalently if it has a countable network, i.e., a countable collection  $\mathcal{N}$  such that if  $x \in U$  with  $U$  open then  $x \in N \subset U$  for some  $N \in \mathcal{N}$ ;*
- (5) *a  $\sigma$ -space if it has a  $\sigma$ -discrete (that is, a countable union of discrete families) network;*
- (6) *a (strong)  $\Sigma$ -space if there exists a  $\sigma$ -locally finite (i.e., countable union of locally finite families) family  $\mathcal{F}$  and a cover  $\mathcal{C}$  by closed countably compact (compact) sets such that whenever  $C \in \mathcal{C}$  and  $U$  is an open set that contains  $C$ , there exists  $F \in \mathcal{F}$  such that  $C \subset F \subset U$ ;*
- (7) *a  $q$ -space if each point admits a sequence of neighbourhoods  $Q_n$  such that  $x_n \in Q_n$  implies that  $\langle x_n \rangle$  has cluster points;*
- (8) *of point-countable type if each point admits a sequence of neighbourhoods  $Q_n$  such that every filter that meshes every  $Q_n$  has cluster points.*
- (9) *Fréchet if whenever  $x \in \overline{A}$ , there exists a sequence  $\langle x_n \rangle$  in  $A$  that converges to  $x$ ;*
- (10) *sequential if sequentially closed and closed sets coincide;*
- (11) *a  $k$ -space if  $A \subset X$  is closed whenever  $A \cap K$  is closed in  $K$  for every compact subset  $K$  of  $X$ ;*
- (12)  *$\omega$ -tight or has countable tightness if whenever  $x \in \overline{A}$ , there exists a countable subset  $B$  of  $A$  such that  $x \in \overline{B}$ ;*
- (13)  *$\omega$ -fan-tight or has countable fan tightness ([2]) if whenever  $x \in \bigcap_{n \in \omega} \overline{A_n}$ , there exists finite sets  $B_n \subset A_n$  such that  $x \in \overline{\bigcup_{n \in \omega} B_n}$ ;*
- (14) *an  $\aleph_0$ -space ([10, page 493]) provided that it has a countable  $k$ -network, i.e. a countable collection  $\mathcal{N}$  such that if  $K \subset U$  with  $K$  compact and  $U$  open then  $K \subset N \subset U$  for some  $N \in \mathcal{N}$ ;*

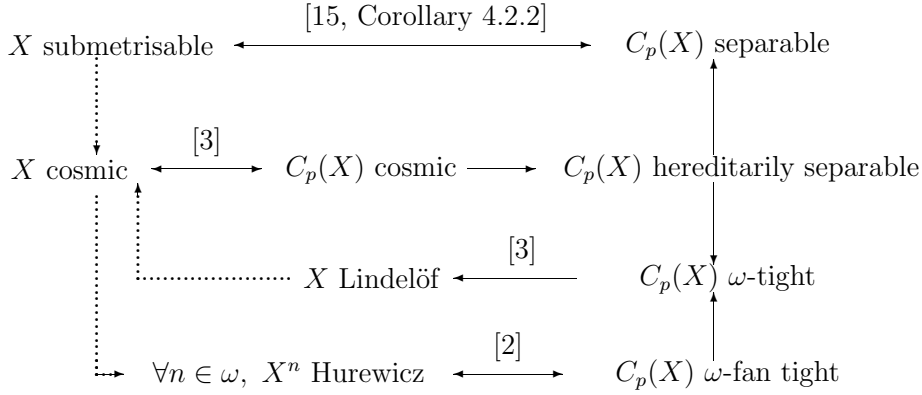
- (15) an  $\aleph$ -space ([10, page 493]) provided that it has a  $\sigma$ -locally finite  $k$ -network;
- (16) analytic if it is a continuous image of a Polish space (equivalently of the irrationals with their usual topology);
- (17) hemicompact [1, page 486] if there is a sequence  $\langle K_n \rangle$  of compact subsets such that each compact subset of  $X$  is contained in some  $K_n$ ;
- (18) Hurewicz (originally introduced by Menger in [17] and studied under the name  $E^*$  in [13, page 195] by Hurewicz) if for each sequence  $\langle \mathcal{U}_n \rangle$  of open covers there is a sequence  $\langle \mathcal{V}_n \rangle$  such that  $\cup_{n \in \omega} \mathcal{V}_n$  covers  $X$  and  $\mathcal{V}_n$  is a finite subfamily of  $\mathcal{U}_n$  for each  $n \in \omega$ .

Even if we primarily focus on spaces of real-valued continuous functions, we also consider conditions on hyperspace topologies. Let  $\mathcal{C}(X)$  denote the set of all closed subsets of  $X$ . This set is classically identified with the set of continuous functions from  $X$  to the Sierpiński topology  $\$$  (i.e., topology on  $\{0, 1\}$  with  $\emptyset$ ,  $\{0, 1\}$  and  $\{0\}$  as open sets), by identifying closed sets with their characteristic functions. Thus, if  $\mathcal{C}$  is endowed with the *cocompact topology*, that admits the sets  $\{F \in \mathcal{C}(X) : F \cap K = \emptyset\}$  for  $K$  ranging over every compact subsets of  $X$  as a subbase, we denote by  $C_k(X, \$)$  the resulting topological space. It is proved in [18] that  $\mathcal{C}(X)$  endowed with the upper Kuratowski convergence is first-countable if and only if it is sequential if and only if it is countably tight if and only if  $X$  is hereditarily Lindelöf. But Lindelöfness is known to be equivalent to metrisability for a manifold [19, Theorem 2.5]. On the other hand, it is well-known (e.g. [5]) that the upper Kuratowski convergence and the cocompact topology coincide for Hausdorff locally compact topological spaces  $X$ , in particular for manifolds. This proves equivalences 1 to 4 in Theorem 1.2 below and gives the first three criteria of metrisability of a manifold in terms of topological properties of function spaces.

**Theorem 1.2.** *Let  $M$  be a manifold. The following are equivalent:*

- (1)  $M$  is metrisable;
- (2)  $C_k(M, \$)$  is first-countable;
- (3)  $C_k(M, \$)$  is sequential;
- (4)  $C_k(M, \$)$  is  $\omega$ -tight;
- (5)  $C_k(M)$  is Polish;
- (6)  $C_k(M)$  is a pseudocomplete  $\sigma$ -space (equivalently is completely metrisable);
- (7)  $C_k(M)$  is second countable;
- (8)  $C_k(M)$  is a  $q$ -space (equivalently is metrisable, equivalently contains a dense subset of point-countable type);





To prove the dotted implications in the first diagram, we use:

- (1) [15, Corollary 5.2.5].  $C_k(X)$  is Polish if and only if  $X$  is a hemicompact cosmic  $k$ -space.
- (2) [15, Corollary 4.1.3].  $C_k(X)$  is cosmic if and only if  $X$  is an  $\aleph_0$ -space.
- (3) [15, Corollary 4.7.2].  $C_k(X)$  is  $\omega$ -tight if and only if every open  $k$ -cover of  $X$  <sup>(2)</sup> has a countable  $k$ -subcover.
- (4) [15, Theorem 5.7.5]. If  $X$  is a  $q$ -space (in particular a manifold), then  $C_k(X)$  is analytic if and only if  $C_p(X)$  is analytic if and only if  $X$  is  $\sigma$ -compact metrisable.

To complete the proof of Theorem 1.2 (and in particular dotted arrows in the two above diagrams), it remains to show that the following are equivalent for a manifold  $M$ :

- (1)  $M$  is hemicompact and cosmic [equivalently  $C_k(M)$  is Polish, as a manifold is a  $k$ -space];
- (2)  $M$  is metrisable and  $\sigma$ -compact;
- (3)  $M$  is metrisable;
- (4) every open  $k$ -cover of  $M$  has a countable  $k$ -subcover [equivalently,  $C_k(M)$  is  $\omega$ -tight];
- (5)  $M$  is an  $\aleph_0$ -space [equivalently  $C_k(M)$  is cosmic];
- (6)  $M$  is cosmic [equivalently  $C_p(M)$  is cosmic];
- (7)  $M^n$  is Hurewicz, for every  $n \in \omega$  [equivalently  $C_p(M)$  is  $\omega$ -fan-tight];
- (8)  $M$  is Lindel\"of;

<sup>2</sup>A  $k$ -cover of  $X$  is a collection  $\mathcal{S}$  of subsets of  $X$  such that each compact subset of  $X$  lies in some member of  $\mathcal{S}$ .

- (9)  $M$  is submetrisable [equivalently,  $C_p(M)$  is separable, equivalently,  $C_k(M)$  is separable, by [15, Corollary 4.2.2]].

While proving these equivalences in the next section, we actually prove that even more topological properties that are different in general are all equivalent to metrisability for manifolds. Hence we obtain both internal and external (in terms of function spaces) new criteria for metrisability of manifolds.

## 2. INTERNAL CRITERIA OF METRISABILITY

**Lemma 2.1.** *For a topological space  $X$  the following three conditions are equivalent.*

- (a)  $X$  is hemicompact;
- (b) There is an increasing sequence  $\langle K_n \rangle$  of compact subsets of  $X$  such that each compact subset of  $X$  is contained in some  $K_n$ ;
- (c) Every  $k$ -cover of  $X$  has a countable  $k$ -subcover.

Proof. (a) $\Rightarrow$ (b). If  $\langle C_n \rangle$  is a sequence of compacta such that each compact subset of  $X$  lies in some  $C_n$ , then setting  $K_n = \cup_{m \leq n} C_m$  gives a sequence satisfying (b).

(b) $\Rightarrow$ (c). Let  $\mathcal{S}$  be a  $k$ -cover of  $X$  and suppose that  $\langle K_n \rangle$  is a sequence given by (b). For each  $n \in \omega$  choose  $S_n \in \mathcal{S}$  such that  $K_n \subset S_n$ . Then  $\{S_n / n \in \omega\}$  is a countable  $k$ -subcover of  $\mathcal{S}$ .

(c) $\Rightarrow$ (a). Let  $\mathcal{K}$  consist of all compact subsets of  $X$ . Then  $\mathcal{K}$  is a  $k$ -cover of  $X$  so has a countable  $k$ -subcover, say  $\{K_n / n \in \omega\}$ . The sequence  $\langle K_n \rangle$  satisfies the definition of hemicompactness.  $\blacksquare$

**Lemma 2.2.** *Suppose that  $\mathcal{N}$  is a  $k$ -network on the locally compact, regular space  $X$ . Then  $\check{\mathcal{N}} = \{\check{N} / N \in \mathcal{N}\}$  is also a  $k$ -network for  $X$ .*

Proof. Let  $K \subset U \subset X$  with  $K$  compact and  $U$  open. Use local compactness and regularity to find a finite collection  $\{C_1, \dots, C_n\}$  of compact subsets of  $U$  whose interiors cover  $K$ . As  $\cup_{i=1}^n C_i$  is a compact subset of  $U$  there is  $N \in \mathcal{N}$  such that  $\cup_{i=1}^n C_i \subset N \subset U$ . Then  $K \subset \check{N} \subset U$ .  $\blacksquare$

**Lemma 2.3.** *Suppose that  $\mathcal{U}$  is a collection of open subsets of the locally hereditarily separable space  $X$ . If  $\mathcal{U}$  is point-countable on some dense subset of  $X$  then  $\mathcal{U}$  is point-countable.*

Proof. Suppose that  $\mathcal{U}$  is point-countable on the dense subset  $D \subset X$ . Let  $x \in X$  and let  $O$  be an open hereditarily separable neighbourhood of  $x$ . Let  $E$  be a countable dense subset of  $D \cap O$ ; then  $E$  is also dense in  $O$ .



We have  $X = \cup_{n \in \omega} K_n$  for a sequence  $\langle K_n \rangle$  of compact subsets of  $X$ . Suppose given a sequence  $\langle \mathcal{U}_n \rangle$  of open covers of  $X$ . For each  $n \in \omega$ ,  $\mathcal{U}_n$  is an open cover of  $K_n$  so has a finite subcover, say  $\mathcal{V}_n$ . Then  $\mathcal{V}_n$  satisfies the requirements.

*Every open  $k$ -cover has a countable  $k$ -subcover implies Lindelöf.* Let  $\mathcal{U}$  be an open cover of  $X$  and let  $\hat{\mathcal{U}}$  consist of all open subsets of  $X$  which are finite unions of members of  $\mathcal{U}$ . Each compact subset of  $X$  is contained in a finite union of members of  $\mathcal{U}$ , hence in a single member of  $\hat{\mathcal{U}}$ . Thus  $\hat{\mathcal{U}}$  is an open  $k$ -cover of  $X$ : let  $\mathcal{V}$  be a countable  $k$ -subcover. Each member of  $\mathcal{V}$  is a finite union of members of  $\mathcal{U}$ , so there is a countable subcollection  $\mathcal{W} \subset \mathcal{U}$  such that each member of  $\mathcal{V}$  is a finite union of members of  $\mathcal{W}$ . Then  $\mathcal{W}$  is a countable subcover of  $\mathcal{U}$ .

*$\aleph_0$ -space implies that every open  $k$ -cover has a countable  $k$ -subcover.*

Let  $\mathcal{U}$  be an open  $k$ -cover of  $X$  and  $\mathcal{N}$  be a countable  $k$ -network for  $X$ . For each  $N \in \mathcal{N}$  for which there is  $U \in \mathcal{U}$  with  $N \subset U$  choose one such  $U$ ; call it  $U_N$ . Then  $\{U_N / N \in \mathcal{N}\}$  is a countable  $k$ -subcover of  $\mathcal{U}$ .

*Cosmic implies Lindelöf.*

Let  $\mathcal{U}$  be an open cover of  $X$  and  $\mathcal{N}$  be a countable network for  $X$ . For each  $N \in \mathcal{N}$  for which there is  $U \in \mathcal{U}$  with  $N \subset U$  choose one such  $U$ ; call it  $U_N$ . Then  $\{U_N / N \in \mathcal{N}\}$  is a countable subcover of  $\mathcal{U}$ .

*Lindelöf implies hemicompact under local compactness.*

Use local compactness of  $X$  to cover  $X$  by open sets which are the interiors of compact sets. Because  $X$  is Lindelöf we need only countably many of these open sets to cover  $X$ . Thus we have compact sets  $\{C_n / n \in \omega\}$  such that  $X = \cup_{n \in \omega} \overset{\circ}{C}_n$ . Let  $K_n = \cup_{m \leq n} C_m$ . It remains to show that each compact subset of  $X$  lies in some  $K_n$ . Given a compact subset  $K \subset X$ , as  $\{\overset{\circ}{C}_n / n \in \omega\}$  is an open cover of  $K$  there is a finite subcover and if  $n$  is the largest index amongst the members of such a finite subcover then  $K \subset K_n$ .

*Second-countable is equivalent to hemicompact  $\aleph_0$ -space under local compactness.*

Assume  $X$  is second countable. To obtain a countable  $k$ -network, take the family of finite unions of elements of a countable base. On the hand, a second countable space is Lindelöf, hence hemicompact if  $X$  is moreover locally compact (by the above proof). To prove the converse (in fact the equivalence), we use a dual proof. By [15, Corollary 4.5.3],  $X$  is a hemicompact  $\aleph_0$ -space if and only if  $C_k(X)$  is second-countable. Moreover,  $C_k(X)$  coincides with  $C_c(X)$ , the set of real-valued continuous functions on  $X$  endowed with the continuous convergence, provided that  $X$  is locally compact [14, Theorem 3.2]. But  $C_c(X)$  is second-countable if and only if  $X$  is second-countable [7, Theorem 1].

*Locally separable connected and metaLindelöf implies Lindelöf.*

By [4, Theorem 4.28], a locally separable metaLindelöf space is strongly paracompact, and it is easy to see that a connected strongly paracompact space is Lindelöf.

A regular Fréchet space with a point-countable  $k$ -network is metaLindelöf by [12, Proposition 8.6(b)]. By Proposition 2.4, a regular locally compact and locally hereditarily separable space with a  $k$ -network which is point countable at each point of a dense subset has a point-countable  $k$ -network.

The remaining implications in the diagram follow directly from the definitions.

■

**Corollary 2.5.** *Let  $X$  be a Hausdorff locally compact connected and locally metrisable space (for instance a manifold). The following are equivalent:*

- (1)  $X$  is metrisable;
- (2)  $X$  is second-countable;
- (3)  $X$  is a hemicompact  $\aleph_0$ -space;
- (4)  $X$  is hemicompact;
- (5)  $X$  is an  $\aleph_0$ -space;
- (6)  $X$  is cosmic;
- (7)  $X$  is Lindelöf;
- (8)  $X$  is Hurewicz;
- (9)  $X$  is  $\sigma$ -compact;
- (10) every open  $k$ -cover of  $X$  has a countable  $k$ -subcover;
- (11)  $X$  is an  $\aleph$ -space;
- (12)  $X$  has a star-countable  $k$ -network;
- (13)  $X$  has a point-countable  $k$ -network;
- (14)  $X$  has a  $k$ -network which is point-countable at each point of a dense subset;
- (15)  $X$  is metaLindelöf.

In particular, the first eight properties quoted at the end of the first section are equivalent for a Hausdorff locally compact connected and locally metrisable space (in particular a manifold), including the seventh, because if such a space is Hurewicz, it is moreover second-countable, so that each finite power is also second-countable, hence Hurewicz. It proves that Theorem 1.2 without property 19 is true for Hausdorff locally compact connected and locally metrisable spaces.

Hence all the properties involved in the diagram for Theorem 2.1 except those in the dotted box are criteria for metrisability of a manifold. What about these three additional properties?

**Definition 2.2.** A topological space  $X$  is a Moore space if it is regular and has a development, i.e., a sequence  $\langle \mathcal{U}_n \rangle$  of open covers such that for each  $x \in X$  the collection  $\{st(x, \mathcal{U}_n) : n \in \omega\}$  forms a neighbourhood basis at  $x$ .  $X$  has a (regular)  $G_\delta$ -diagonal if its diagonal is a (regular)  $G_\delta$  subset of  $X \times X$  ( $H$  is a regular  $G_\delta$ -set in  $Y$  if  $H = \bigcap_n \overline{U_n}$ , where each  $U_n$  is an open set containing  $H$ ). A space  $X$  has a  $G_\delta^*$ -diagonal if there exists a sequence  $\langle \mathcal{G}_n \rangle$  of open covers such that for each  $x \in X$ ,  $\{x\} = \bigcap_n st(x, \mathcal{G}_n)$ .

$X$  is weakly normal provided that for every pair  $A, B$  of disjoint closed subsets of  $X$  there is a continuous function  $f$  from  $X$  to a separable metric space such that  $f(A) \cap f(B) = \emptyset$ .

It is well-known that each Moore space is a  $\sigma$ -space [10, Theorem 4.5] and that every  $\sigma$ -space has a  $G_\delta^*$ -diagonal [10, Theorem 4.6]. On the other hand, by [10, Theorem 2.15], a locally compact and locally connected space (in particular a manifold) with a  $G_\delta^*$ -diagonal is a Moore space. Hence Moore spaces,  $\sigma$ -spaces and spaces with a  $G_\delta^*$ -diagonal coincide for manifolds. Moreover, there exists a non metrisable Moore manifold [19, Example 3.7]. Thus, none of the properties in the dotted box is a general criterion for metrisability of a manifold. However, it is known from [21] and from [8] respectively, that “normal Moore space” and “weakly normal space with a  $G_\delta^*$ -diagonal” are two such criteria. We thank the referee for pointing out that strong  $\Sigma$ -spaces coincide with subparacompact  $\Sigma$ -spaces [10, Theorem 4.14] (even when the usual definition of (strong)  $\Sigma$ -space is used in place of Gruenhagen’s definition) while [19, Corollary 2.2 and 2.3] assert that a manifold is Moore if and only if it is subparacompact.

**Proposition 2.6.** *The following are equivalent for a manifold  $M$ .*

- (1)  $M$  is metrisable;
- (2)  $M$  is a (weakly) normal Moore space;
- (3)  $M$  is a (weakly) normal  $\sigma$ -space;
- (4)  $M$  is a (weakly) normal space with a  $G_\delta^*$ -diagonal;
- (5)  $M$  is a (weakly) normal strong  $\Sigma$ -space;
- (6)  $M$  has a regular  $G_\delta$ -diagonal;
- (7)  $M$  is submetrisable.

*Proof.* The equivalences between the first five points are obvious from the previous discussion while the equivalence between 1 and 6 follows from [10, Theorem 2.15,b)] that states that a locally compact locally connected space with a regular  $G_\delta$ -diagonal is metrisable. Finally, a submetrisable space has a regular  $G_\delta$ -diagonal [10, p. 430]. ■

This shows that property (9) at the end of Section 1 is equivalent to the eight preceding ones, so that the proof of Theorem 1.2 is complete.

We cannot further weaken the conditions in Proposition 2.6 to  $M$  being a (weakly) normal  $\Sigma$ -space. For example, the long line, being countably compact, is a  $\Sigma$ -space manifold which is normal but not metrisable. Finally, we have not been able to fully answer the following questions raised by the referee:

**Question 2.3.** *Do the  $\Sigma$ -space manifolds coincide with the submanifolds of manifolds  $M \times C$  where  $M$  is a Moore manifold and  $C$  is a countably compact manifold?*

**Question 2.4.** *Is every open subspace of a  $\Sigma$ -space manifold likewise a  $\Sigma$ -space?*

### 3. RELATED NOTIONS AND REMARKS.

Consider the following stronger variant of fan-tightness. A topological space  $X$  has *countable strong fan tightness* if whenever  $x \in \bigcap_{n \in \omega} \overline{A_n}$ , there exist  $x_n \in A_n$  such that  $x \in \overline{\{x_n : n \in \omega\}}$ . In [22], it is shown that  $C_p(X)$  has countable strong fan-tightness if and only if  $X^n$  has the following property (called  $C''$ ) for every  $n \in \omega$ : for each sequence  $\langle \mathcal{U}_n \rangle$  of open covers there is a sequence  $V_n \in \mathcal{U}_n$  such that  $\bigcup_{n \in \omega} V_n = X$ . As  $C''$  is a seemingly slight strengthening of the Hurewicz property, which is equivalent to metrisability for a manifold, property  $C''$  (and hence countable strong fan-tightness of  $C_p(M)$ ) is a reasonable candidate to be another criterion of metrisability of a manifold  $M$ . However, taking  $l = 1$  in Lemma 3.1 shows that such a space does not satisfy property  $C''$ . Hence, the function space  $C_p(M)$  over a metrisable manifold  $M$  need not be (and actually is rarely) of countable strong fan-tightness .

**Lemma 3.1.** *Let  $X$  be a space that admits a map  $f$  onto  $[0, 1]$  (e.g., a nontrivial connected completely Hausdorff<sup>3</sup> space or a non scattered compact space) and  $l \in \mathbb{N}$ . Then there is a sequence  $\langle \mathcal{U}_n \rangle$  of open covers such that for any sequence  $\langle \mathcal{V}_n \rangle$ , where  $\mathcal{V}_n \subset \mathcal{U}_n$  and  $\bigcup_{n \in \omega} \mathcal{V}_n$  covers  $X$ , there is  $n \in \omega$  so that  $|\mathcal{V}_n| > l$ .*

*Proof.* Let  $\langle \mathcal{W}_n \rangle$  be a sequence of open covers of  $[0, 1]$  such that each member of  $\mathcal{W}_n$  has length less than  $\frac{1}{2^{n+2l}}$ . If we let  $\mathcal{U}_n = \{f^{-1}(W) : W \in \mathcal{W}_n\}$ , then  $\langle \mathcal{U}_n \rangle$  is as desired. Indeed, if we select at most  $l$  members of each  $\mathcal{U}_n$  then those sets selected from  $\bigcup_{n \in \omega} \mathcal{U}_n$  collectively do not cover  $X$ . Otherwise, their images under  $f$  would cover  $[0, 1]$ , since  $f$  is onto. This is not the case, since the sum of the diameters of these images is less than  $l \times \sum \frac{1}{2^{n+2l}}$ , hence less than  $\frac{1}{2}$ . ■

<sup>3</sup>i.e., every pair of distinct points is separated by a continuous real function.

**Remark.** Note that, if in Theorem 2.1, we transform the condition that every open  $k$ -cover has a countable  $k$ -subcover by only requiring that every open  $k$ -cover has a countable subcover we obtain a condition equivalent to Lindelöfness.

We have some other like failures in the sense that we have conditions which superficially appear to be weaker than the standard condition, and hence may lead to a weaker criterion for metrisability of a manifold, but are not weaker at all. An *ideal open cover* is an open cover  $\mathcal{U}$  such that any finite union of members of  $\mathcal{U}$  is a member of  $\mathcal{U}$  and any open subset of a member of  $\mathcal{U}$  is also a member of  $\mathcal{U}$ .

**Proposition 3.2.** *For any space  $X$  the following hold.*

- (1) *The following are equivalent:*
  - (a)  *$X$  is Lindelöf;*
  - (b) *every open  $k$ -cover has a countable subcover;*
  - (c) *every ideal open  $k$ -cover of  $X$  has a countable  $k$ -subcover.*
- (2) *The following are equivalent:*
  - (a)  *$X$  is Lindelöf;*
  - (b) *every open lindelöf-cover has a finite subcover;*
  - (c) *every open lindelöf-cover has a countable subcover;*
  - (d) *every open lindelöf-cover has a finite lindelöf-subcover;*
  - (e) *every open lindelöf-cover has a countable lindelöf-subcover.*
- (3)  *$X$  is metaLindelöf  $\iff$  every open  $k$ -cover has a point-countable open refinement.*

Proof of (1)(a) $\iff$ (c). The implication (c) $\implies$ (a) may be obtained from the proof of the non-ideal version of this implication in Theorem 2.1 above by taking  $\hat{\mathcal{U}}$  to consist of all open subsets of finite unions of members of  $\mathcal{U}$ . Conversely suppose that  $\mathcal{U}$  is an ideal open  $k$ -cover of  $X$  and let  $\mathcal{V}$  be a countable subcover. Let  $\hat{\mathcal{V}}$  be the set of all finite unions of members of  $\mathcal{V}$ . Then  $\hat{\mathcal{V}}$  is still countable, is a subfamily of  $\mathcal{U}$  and is a  $k$ -cover of  $X$ .

Proof of (2). (a)  $\implies$  (d) because every open lindelöf-cover of a Lindelöf space  $X$  contains  $X$  as a member. (d)  $\implies$  (e); (d)  $\implies$  (b); (e)  $\implies$  (c) and (b)  $\implies$  (c) are obvious. Finally, (c)  $\implies$  (a). Indeed, to each open cover  $\mathcal{U}$  of  $X$ , we can associate the collection  $\mathcal{V}$  of all countable unions of members of  $\mathcal{U}$ , obtaining an open lindelöf-cover of  $X$ . By, (c), there exists a countable subcover  $\mathcal{W}$ . The corresponding members of  $\mathcal{U}$  form a countable subcover of  $\mathcal{U}$ .

Proof of (3).  $\implies$  is trivial, so we concentrate on  $\impliedby$ . Suppose that  $\mathcal{U}$  is an open cover of  $X$ . Then  $\hat{\mathcal{U}}$ , which consists of the unions of all finite subfamilies of  $\mathcal{U}$ , is an open  $k$ -cover of  $X$ . Let  $\mathcal{W}$  be a point-countable open refinement of  $\hat{\mathcal{U}}$ . For each

$W \in \mathcal{W}$  choose  $\widehat{U}_W \in \widehat{\mathcal{U}}$  so that  $W \subset \widehat{U}_W$  and then choose  $U_{W,1}, \dots, U_{W,n_W} \in \mathcal{U}$  so that  $\widehat{U}_W = U_{W,1} \cup \dots \cup U_{W,n_W}$ . Let  $\mathcal{V} = \{U_{W,i} \cap W / W \in \mathcal{W} \text{ and } i = 1, \dots, n_W\}$ . Then  $\mathcal{V}$  is a point-countable open refinement of  $\mathcal{U}$ . ■

**Example 3.1.** *The space below [6, Example 6.6] is an example of an Hurewicz space with an open  $k$ -cover that does not have any countable  $k$ -subcover.*

Let

$$X = \{(x, y) \in \mathbb{R}^2 : y \geq 0\}; X_1 = \{(x, y) \in \mathbb{R}^2 : y > 0\}; X_2 = \{(x, 0) : x \in \mathbb{R}\},$$

and consider a topology  $\tau$  on  $X$  defined by the following conditions:

- (1)  $X_1$  is open in  $(X, \tau)$  and the restriction of  $\tau$  to  $X_1$  coincides with the Euclidean topology;
- (2) every  $(x, 0) \in X_2$  has a fundamental system of  $\tau$ -open neighborhoods given by:

$$\{V_{x,f,g,\epsilon} : \epsilon > 0; f, g : ]x - \epsilon, x + \epsilon[; f, g \text{ continuous}; \\ f(x) = g(x) = 0; f(x') < g(x') \text{ for } x \neq x'\},$$

where

$$V_{x,f,g,\epsilon} = \{(x, 0)\} \cup \{(x', y) \in ]x - \epsilon, x + \epsilon[ \times ]0, \epsilon[ : y < f(x') \text{ or } g(x') < y\}$$

$X$  is hereditarily Lindelöf, as observed in [6]. It is  $\sigma$ -compact, hence Hurewicz. Indeed, it is the union of sets of the form

$$[-n, n] \times \left( \{0\} \cup \left[ \frac{1}{n}, n \right] \right)$$

and these sets are all compact by [6, Lemma 6.5].

$X$  has an open  $k$ -cover with no countable  $k$ -subcover. Indeed, by [6, Lemma 6.5] sets of the form a closed bounded rectangle in  $X_1$  union their vertical shadow on  $X_2$  together with finitely many vertical lines joining the rectangle and the shadow form a kind of basic collection of compacta in  $X$  in the sense that these sets are compact and any other compactum will be a closed subset of such a set. We thicken these basic compacta to form an open  $k$ -cover of  $X$ . For each partition  $P = \{-n = x_0 < x_1 < \dots < x_m = n\}$  of  $[-n, n]$  ( $n \in \mathbb{N}$ ) choose continuous functions  $f_P, g_P : [-n, n] \rightarrow [0, \frac{1}{n}]$  so that  $f_P(x_i) = g_P(x_i) = 0$  and  $0 < f_P(x) < g_P(x)$  whenever  $x \neq x_i$  for any  $i$ . Now let

$$U_P = \{(x, y) \in \mathbb{R}^2 / |x| < n \text{ and either } y < f_P(x) \text{ or } g_P(x) < y < n\} \cup \{(x_i, 0) / 0 < i < m\}.$$

Then for each  $P$ , the set  $U_P$  is open. Further if  $K \subset X$  is compact then there is a partition  $P$  of some interval  $[-n, n]$  so that  $K \subset U_P$ . Thus

$$\mathcal{U} = \{U_P / P \text{ is a partition of some interval } [-n, n]\}$$

is an open  $k$ -cover of  $X$ . Suppose that  $\mathcal{V}$  is any countable subcollection of  $\mathcal{U}$ , say  $\mathcal{V} = \{U_{P_i} / i \in \omega\}$ . Then  $P = \cup_{i \in \omega} P_i$  is countable so we may choose some  $a \in \mathbb{R} - P$ . Let  $C = \{a\} \times [0, 1]$ . Then  $C$  is compact in  $X$ . However for any  $i \in \omega$ ,  $a \notin P_i$  so  $0 < f_{P_i}(a) < g_{P_i}(a) < 1$  so that  $\left(a, \frac{f_{P_i}(a) + g_{P_i}(a)}{2}\right) \in C - U_{P_i}$ . Thus  $\mathcal{V}$  is not a  $k$ -cover. It follows that the open  $k$ -cover  $\mathcal{U}$  of  $X$  has no countable  $k$ -subcover.

The example below shows that for general topological spaces,  $10 \not\equiv 9$  (hence  $10 \not\equiv 4$ ),  $10 \not\equiv 6$  (hence  $10 \not\equiv 5$ ),  $8 \not\equiv 9$  and  $8 \not\equiv 6$  (hence  $8 \not\equiv 5$ ) in Corollary 2.5.

**Example 3.2.** *Let  $X$  be  $\omega_1 + 1$  topologized with basis  $\{\{\alpha\} : \alpha < \omega_1\} \cup \{(\alpha, \omega_1] : \alpha < \omega_1\}$ . Then  $X$  is normal and  $(C'')$ , hence Hurewicz, and every open  $k$ -cover has a countable  $k$ -subcover but  $X$  is neither  $\sigma$ -compact nor cosmic.*

$X$  is the one-point Lindelöfication of  $\omega_1$  with the discrete topology. The only compact subsets of  $X$  are the finite subsets, so  $X$  is not  $\sigma$ -compact.

Let  $\langle \mathcal{U}_n \rangle$  be a sequence of open covers of  $X$ . Choose any  $U_0 \in \mathcal{U}_0$  such that  $\omega_1 \in U_0$ . As  $X - U_0$  is countable, for each  $n = 1, 2, \dots$  we can choose  $U_n \in \mathcal{U}_n$  so that  $\{U_n / n = 1, 2, \dots\}$  covers  $X - U_0$ . Now set  $\mathcal{V}_n = \{U_n\}$  to get the sequence  $\langle \mathcal{V}_n \rangle$  as in the definition of  $(C'')$ .

Let  $\mathcal{U}$  be an open  $k$ -cover of  $X$ . For each finite subset  $F \subset [0, \omega_1)$ , choose non-empty  $U_F \in \mathcal{U}$  and  $\beta_F \in \omega_1$  such that  $F \cup \{\omega_1\} \subset U_F$  and  $[\beta_F, \omega_1] \subset U_F$ ; the latter follows from co-countability of  $U_F$ . Define inductively an increasing sequence  $\langle \alpha_n \rangle$  as follows. Set  $\alpha_0 = 0$ . Given  $\alpha_n$ , there are countably many finite subsets of  $[0, \alpha_n)$ , so  $\{\alpha / \alpha > \beta_F \text{ for each finite } F \subset [0, \alpha_n)\}$  is non-empty; let  $\alpha_{n+1}$  be the least member of this set or  $\alpha_n + 1$  whichever is the greater. Let  $\alpha = \lim_{n \rightarrow \infty} \alpha_n$ . It is claimed that  $\{U_F / F \text{ is a finite subset of } [0, \alpha)\}$  is a countable  $k$ -subcover of  $\mathcal{U}$ . Indeed, suppose that  $F$  is a finite subset of  $X$  and set  $G = F \cap [0, \alpha)$ . Then there is  $n \in \omega$  such that  $G \subset [0, \alpha_n)$ . As  $\beta_G < \alpha_{n+1} < \alpha$  it follows that  $F \subset G \cup [\alpha, \omega_1] \subset U_G$ .

$X$  is not cosmic for if  $\mathcal{N}$  is a network for  $X$  then for each  $\alpha \in X$  there is  $N_\alpha \in \mathcal{N}$  such that  $\alpha \in N_\alpha \subset [\alpha, \omega_1]$ . If  $\alpha \neq \beta$  then  $N_\alpha \neq N_\beta$ . Thus  $\mathcal{N}$  is uncountable. ■

The next example shows that for general topological spaces  $5 \not\equiv 8$  (hence  $10 \not\equiv 8$ ,  $7 \not\equiv 8$ ,  $5 \not\equiv 9$ ,  $5 \not\equiv 4$ ,  $6 \not\equiv 8$ ,  $6 \not\equiv 9$  and  $6 \not\equiv 4$ ) in Corollary 2.5.

**Example 3.3.** *The space  $\mathbb{P}$  of irrational numbers with the usual topology is second-countable, hence an  $\aleph_0$ -space, but is not Hurewicz [2].*

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